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5/18/01  
(3)

HGT-201

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Finkelstein, et al.  
Serial No. : 09/818483  
Filed : March 27, 2001  
For : SYSTEM AND METHOD FOR REPURCHASE  
AGREEMENT EXCHANGE

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May 14, 2001

Hon. Commissioner of Patents  
& Trademarks  
Washington, DC 20231

ATTN.: Application Branch

Sir:

COMMUNICATION RE: FILING DECLARATION  
ON A DATE LATER THAN THE FILING  
DATE OF THE APPLICATION

Applicants submit herewith a Declaration for the above-identified application. The Application was originally filed without a Declaration attached to the subject Application.

It is requested that the papers submitted herewith be joined up with the papers previously filed in this application.

Applicant is:

[x] a small entity  
[ ] other than a small entity.

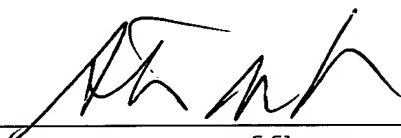
Enclosed is a check in the amount of:

☒ \$65 for small entity

☐ \$130 for other than a small entity.

Respectfully submitted,

By



Steven M. Hoffberg  
Reg. No. 33,511

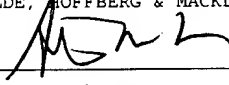
MILDE, HOFFBERG & MACKLIN, LLP  
10 Bank Street - Suite 460  
White Plains, NY 10606

(914) 949-3100

I hereby certify that this correspondence  
is being deposited with the United States  
Postal Services as first class mail in an  
envelope addressed to: Commissioner of  
Patents and Trademarks, Washington, D.C.  
20231 on 5/14/01

MILDE, HOFFBERG & MACKLIN, LLP

By



Date

5/14/01



(3)

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No.

HGT-201

As the below named inventors, I/We hereby declare that:

My/Our name(s), residence(s), post office address(es) and citizenship(s) is/are as stated below next to my/our name(s).

If one name appears below, I am the sole inventor of the subject matter sought to be patented.

If two or more names appear below, we are joint inventors of the subject matter sought to be patented.

I/We believe I/We am/are the original; and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### SYSTEM AND METHOD FOR REPURCHASE AGREEMENT EXCHANGE

the specification of which

☐ is attached hereto.

☒ was filed on 3/27/01 as application Serial No. 09/818483

I/We hereby state that I/We reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I/We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I/We also acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.63(d), which occurred between the filing date of the prior application and the filing date of the continuation-in-part application, if this is a continuation-in-part application.

I/We hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for the patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having filing date before that of the application on which priority is claimed:

Prior Foreign Application: \_\_\_\_\_ Application No.

filed

Priority Claimed:

\_\_\_\_\_ Yes

\_\_\_\_\_ No

I/We hereby claim the benefit under Title 35, United States Code, Section 119(e) or 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

60/192486  
Application Serial No.

3/27/00  
Filing Date

                      
Status  
(patented, pending, abandoned)

I/We hereby declare that all statements made herein of my/our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I/We hereby appoint the following attorneys and/or agents to represent me with respect to the above identified U.S. Patent Application, and to prosecute any continuations, continuations-in-part, reissue applications and/or reexaminations with respect to these applications and to transact all business in the Patent and Trademark Office connected therewith, and hereby expressly revoke all prior powers, whatever they may be, heretofore had herein:

Karl F. Milde, Jr., Reg. No. 24, 822; Steven M. Hoffberg, Reg. No. 33,511 and  
Kenneth E. Macklin, Reg. No. 20,875, all of 10 Bank Street, Suite 460, White Plains,  
New York 10606, my/our attorneys with full power of substitution and revocation.

Please address all telephone calls to Steven M. Hoffberg, Esq. at telephone No. (914) 949-3100.

Please address all correspondence to:

Steven M. Hoffberg, Esq.  
MILDE, HOFFBERG & MACKLIN, LLP  
10 Bank Street - Suite 460  
White Plains, New York 10606



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Prior Foreign Application: \_\_\_\_\_ Application No.

filed

Priority Claimed: \_\_\_\_\_ Yes \_\_\_\_\_ No